

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANT:** 

David C. Brown, et al.

**GROUP:** 

2834

**SERIAL NO:** 

10/075,940

**EXAMINER:** 

Judson Jones

FILED:

February 13, 2002

FOR:

APPARATUS FOR CONTROLLED MOVEMENT

OF AN ELEMENT

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

# TRANSMITTAL OF RE-SUBMISSION OF INFORMATION DISCLOSURE STATEMENT

Applicant resubmits a copy of the Information Disclosure Statement (IDS), IDS Form 1449, returned post card and copies of the references that were originally submitted on June 5, 2003. Applicant understands from the examiner that a copy of this IDS is not in the file. A copy of the transaction history for this application is also enclosed confirming that the IDS was filed on June 5, 2003. Applicant respectfully request that the Examiner review and initial these references.

#### **CERTIFICATION UNDER 37 C.F.R 1.10**

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on July 13, 2006 in an envelope as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10, Mailing Label Number EV901972041US addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Meghan H. Carr

If any fee is required in connection with this submission the Commissioner is authorized to charge Deposit Order Account No. 19-0079.

Respectfully submitted,

William E. Hilton

Registration No. 35,192

Gauthier & Connors, LLP

225 Franklin Street, Suite 2300

Boston, Massachusetts 02110

Telephone: (617) 426-9180

Extension 111



CYPRESS	EL 754088954 US
EXPRESS POST OFFICE	EL 754088954 US S
TO ADDRESSEE  UNITED STATES POSTAL SERVICE®  ORIGIN (POSTAL USE ONLY) PO ZIR Code O7 10  Navt Second	
Date In Postage  S 2 Noon	SEE REVERSE SIDE FOR  SERVICE GUARANTEE AND LIMITS  ON INSURANCE COVERAGE  WAIVER OF SIGNATURE (Comestic Only): Additional merchandles insurance is void it welver.
Weight Weight AM PM Int'l Alpha Country Code COD Fee Insurance Fee Story  No Delivery Acceptance Clade Dittals Total Postage & Fees Country Code COD Fee Insurance Fee Story  No Delivery Acceptance Clade Dittals Total Postage & Fees Code Code Code Code Code Code Code Code	of signature is requested. Wish delivery employee judges that articls can be left in secure location) and liauthorize addresses a signatification of the limit delivery employee's signature constitution of the limit delivery.  NO DELVERY weekend follows:  Weekend follows:
METHOD OF PAYMENT: Express Mail Corporate Acct. No. X 0 2 1 5 6 9  S A 2 2 FROM: (PLEASE PRINT) PHONE	TO: (PLEASE PRINT)  PHONE  COMMISSIONER of Parts  PHONE
SAMUELS GAUTHIER & STEVENS 225 FRANKLIN ST STE 3300 BOSTON MA 02110-2898	"  【10.11、19.50 T.C. 19.40 C.E. 19.10 C.E. 19.10 C.E. 19.10 P.E. 19.10 P.E. 19.10 P.E. 19.10 P.E. 19.10 P.E. 1
WEH AIC GSV. 6689-1A  FOR PICKUP OR TRACKING CALL 1-8	Mail Stop DD

GS1.6689-A

U.S. Patent Appln. Serial No. 10/075,940
For: APPARATUS FOR CONTROLLED MOVEMENT
OF AN ELEMENT
Filed: February 13, 2002

Enclosed please find the following:

- Information Disclosure Statement Form PTO-1449 Cited References (50)
- 1) 2) 3)

WEH/dlc

This Paper Was Received By PTO On:



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

David C. Brown, et al

GROUP:

2633

**SERIAL NO:** 

10/075,940

**EXAMINER:** 

unknown

FILED:

February 13, 20

FOR:

JUL 1 3 2006

OF AN ELEMENT

**Assistant Commissioner of Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

# INFORMATION DISCLOSURE STATEMENT

In compliance with 37 C.F.R. §§1.56, 1.97, and 1.98, Applicant submits copies of the documents listed on the attached Form PTO-1449.

Applicant believes that no fee is required in connection with this submission, however, the Commissioner is authorized to charge Deposit Order Account No. 19-0079 for any fee that is required.

Respectfully submitted,

William E. Hilton

Registration No. 35,192

Samuels, Gauthier & Stevens, LLP

225 Franklin Street, Suite 3300

Boston, Massachusetts 02110 Telephone: (617) 426-9180

Extension 111

# CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10

I hereby certify that this Information Disclosure Statement and the documents referred to as enclosed therein are being deposited with the United States Postal Service on June 5, 2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL754088954US addressed to the: Assistant Commissioner of Patents, P.O. Box 1450 Alexandria,

(Rev. 5/92)

FORM PTO-1449 SAMUELS, GAUTHIEK ... STEVENS LLP 225 Franklin Street, Boston, MA 02110-Telephone: (617) 426-9180

INFORMATION DISCLOSURE STATEMENT BY APPLICANT ATTORNEY DOCKET NO. 6689-A

APPLICANT: David C. Brown, et al.

FILING DATE: February 13, 2002

SERIAL NO. 10/075,940

GROUP: 2633

EXAMINER: unknown

## U.S. PATENT DOCUMENTS

			U.S. I	ATENT DOCUM	ents		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,365,863	12/28/1982	Broussaud			
•	AB	4,436,260	03/13/1984	Donelan			
	AC	4,961,627	10/09/1990	Swain et al.			
	AD	5,185,675	02/09/1993	Banks			
	AE	5,208,880	05/04/1993	Riza et al.			·
	AF	5,222,071	06/22/1993	Pezeshki et al.			
	AG	5,223,971	06/29/1993	Magel			
	AH	5,233,673	08/03/1993	Vali et al.		· .	
j.	AI	5,253,033	10/12/1993	Lipchak et al.			
4	AJ.	5,253,098	10/12/1993	Hikita et al.			
	AK	5,255,332	10/19/1993	Welch et al.			
	AL	5,268,974	12/07/1993	Hikita et al.			
·	AM	5,345,521	09/06/1994	McDonald et al.			
	AN	5,373,393	12/13/1994	DeJule et al.			
	AO	5,682,449	10/28/1997	Taira-Griffin			
	AP	5,881,042	03/09/1999	Knight			
	AQ	5,927,798	07/13/1999	Aksyuk et al.			
	AR-	5,943,159	08/24/1999	Zhu			
	AS	5,959,756	09/28/1999	Keyworth et al.			
	AT	5,963,682	10/05/1999	Dorschner et al.			
	AU	6,002,818	12/14/1999	Fatehi et al.			·
	AV	6,008,834	12/28/1999	Lewis et al.			
	AW	6,031,946	02/29/2000	Bergmann et al.			
	AX	6,044,056	03/28/2000	Wilde et al.			
	AY	6,072,624	06/06/2000	Dixon et al.		·	08/30/1993
	AZ	6,084,227	07/04/2000	Rhoads			07/30/1997

	11 A A A	·	ı			,		
	AAA	6,086,776	07/11/2000	Maynard			08/12/1996	
	AAB	6,097,860	08/01/2000	Laor			06/05/1998	
	AAC	6,104,478	08/15/2000	Giggenbach			01/15/1998	
	AAD	6,108,466	08/22/2000	Aksyuk et al.			09/17/1998	
	AAE	6,137,941	10/24/2000	Robinson			09/03/1998	
	AAF	6,154,302	11/28/2000	Yagi et al.			11/13/1998	
	AAG	6,163,643	12/19/2000	Bergmann et al.			08/12/1998	
	AAH	6,173,105	01/09/2001	Aksyuk et al.			11/20/1998	
	AAI	6,201,644	03/13/2001	Sakata et al.			11/12/1998	
	AAJ	6,205,267	03/20/2001	Aksyuk et al.			11/20/1998	
	AAK	6,300,619	10/09/2001	Aksyuk et al.			12/21/1997	
		1	FOREIGI	N PATENT DOCUME	ENTS	C(	YPC	
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
							·	
		OWITED TO	0.000					
EXAMINER		OTHER D	OCUMENTS	(Including Author, Title, Da	te, Pertinent P	ages, Etc.)		
INITIAL						·		
٠,	AAL	Motamedi, M. Edward et al. "On-chip optical processing" Microelectronic Structures and MEMS for Optical Processing II. The International Society for Optical Engineering. Vol. 2881, October 1996. pp. 84-117						
	ААМ	Preliminary Data Sheet, Rev 2. "5200-Series 64x64 MEMS Optical Switch Module" Agere Systems, Inc. July 2001. (4 pages)						
	AAN	Ford, James E. et al. "Micromechanical Fiber-Optic Attenuator with 3 µs Response" Journal of Lightwave Technology. Vol. 16, No. 9. September 1998. pp. 1663-1670						
	AAO	Goossen, K.W., et al. "Silicon Modulator Based on Mechanically-Active Anti-Reflection Layer with Mbit/sec Capability for Fiber-in-the-Loop Applications" IEEE Photonics Technology Letters. Vol. 6, No.9. September 1994. pp.1119-1121						
	ААР	Barber, B. et al. "A Fiber Connectorized MEMS Variable Optical Attenuator" IEEE Photonics Technology Letters. Vol. 10, No. 9. September 1998. pp. 1262-1264						
	AAQ	Reid, J.Robert et al. "Arrays of thermal micro-actuators coupled to micro-optical components" Air Force Institute of Technology. The International Society for Optical Engineering, Vol. 2865. pp. 74-82						
	AAR	Walker, J.A. et al. "Performance and Packaging Implications of a MEMS Based Optical Modulator for WDM Fiber-To-The-Home Systems" IEEE Electronic Components and Technology Conference. 1997.						
	AAS	Lau, K.Y. "MEM's the Word for Optical Beam Manipulation" Circuits and Devices, IEEE. July 1997. pp. 11-18						
	<u>                                     </u>	Ford, James E. et al. "Fiber-Coupled Variable Attenuator Using a MARS Modulator" Microelectronic Structures and MEMS for Optical Processing III. The International Society for Optical Engineering. Vol. 3226, September 1997.pp. 86-93						
	AAT	Ford, James E. e Structures and M	LMS for Optic	cal Processing III. The Li	or Using a M nternational	IARS Modulate Society for Opt	or" Microelectronic ical Engineering.	
	AAU	Ford, James E. e Structures and M Vol. 3226, Septe Glockner, Steffa Microelectronic	nemS for Option of the second	cal Processing III. The In 86-93 Rolf. "Multichannel Fibe MEMS for Optical Proce	nternational	Society for Opt	ical Engineering.	
	·	Ford, James E. e Structures and M Vol. 3226, Septe Glockner, Steffa Microelectronic Engineering. Vo CLEO '95. "Sur	mber 1997.pp.  and Goring, I Structures and bl. 3226, Septer	cal Processing III. The II 86-93 Rolf. "Multichannel Fibe	er-Optic Swiessing III. T	Society for Opt tches based on land the International	MOEM Systems" I Society for Optical	

.

.

o		January 19	рр. 53-39			
	AAX	Goring, Rolf et al. "Miniaturized optical switches based on piezoelectrically driven microprism arrays" Miniaturized Systems with Micro-Optics and Micromechanics. The International Society for Optical Engineering. Vol 2687, January 1996. pp. 23-31				
EXAMINER					DATE CONSIDERED	
EXAMINER	,	MPEP 609	9; draw line	through	whether or not citation is in conformance with a citation if not in conformance and not this form with next communication to applicant.	

